IN THE UNITED STATES PATENT AND TRÄDEMÄRK OFFICE REISSUE APPLICATION

Application for Reissue of

Patent No.

5,905,974

Issued

May 18, 1999

Patentees/

Reissue Applicants

Stuart A. Fraser et al.

For

AUTOMATED AUCTION PROTOCOL

PROCESSOR

Assignees

CANTOR FITZGERALD, L.P. and

CFPH, L.L.C.

New York, New York May 17, 2001

Box REISSUE Hon. Commissioner for Patents Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Prior to examination, please amend the aboveidentified application as follows:

In The Specification

Please amend the specification as follows:

At column 6, line 33, please replace the

paragraph there with:

Uncleared Entry

[Current bids/offers that lack a counterparty, i.e., have not been lifted or hit] A bid or offer that

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